

Primordial non-Gaussianities of gravitational waves beyond Horndeski

Tsutomu Kobayashi

Rikkyo University



Based on work with

Yuji Akita (Rikkyo University)

Phys. Rev. D93 (2016) 4, 043519 [arXiv:1512.01380]

See also Gao, TK, Yamaguchi, Yokoyama,
Phys. Rev. Lett. 107 (2011) 211301 [arXiv:1108.3513 [astro-ph.CO]]

Motivation

- $\langle \zeta \zeta \zeta \rangle$ has been studied extensively Maldacena (2003); Chen, *et al.* (2007); ...
- Useful for discriminating different models of inflation
- Non-Gaussianity of primordial gravitational waves

$$\langle h_{ij} h_{ij} h_{ij} \rangle$$

- Probably extremely difficult to observe, but interesting
Maldacena (2003); Maldacena & Pimentel (2011); Soda, *et al.* (2011); Gao, *et al.* (2011);
Cook & Sorbo (2013); Huang, *et al.* (2013); ...
- This work: evaluate “ $\langle h_{ij} h_{ij} h_{ij} \rangle$ ” in a general framework
of inflation (= scalar-tensor theories beyond Horndeski)

Setup

- General single-field inflation = (single) scalar-tensor theory

Setup

- General single-field inflation = (single) scalar-tensor theory
- Horndeski theory: the most general scalar-tensor theory with **2nd-order** field equations

$$\begin{aligned}\mathcal{L} = & G_2(\phi, X) - G_3(\phi, X)\square\phi + G_4(\phi, X)R \\ & + G_{4,X} [(\square\phi)^2 - (\nabla_\mu\nabla_\nu\phi)^2] + G_5(\phi, X)(\cdots)\end{aligned}$$

$$X := -\frac{1}{2}g^{\mu\nu}\partial_\mu\phi\partial_\nu\phi$$

Horndeski (1974); Deffayet, *et al.* (2011);
TK, Yamaguchi, Yokoyama (2011)

— free from the Ostrogradsky instability

Setup

- General single-field inflation = (single) scalar-tensor theory
- Horndeski theory: the most general scalar-tensor theory with **2nd-order** field equations

$$\begin{aligned}\mathcal{L} = & G_2(\phi, X) - G_3(\phi, X)\square\phi + G_4(\phi, X)R \\ & + G_{4,X} \left[(\square\phi)^2 - (\nabla_\mu \nabla_\nu \phi)^2 \right] + G_5(\phi, X)(\dots)\end{aligned}$$

4 arbitrary functions

$$X := -\frac{1}{2}g^{\mu\nu}\partial_\mu\phi\partial_\nu\phi$$

Horndeski (1974); Deffayet, et al. (2011);
TK, Yamaguchi, Yokoyama (2011)

— free from the Ostrogradsky instability

Setup

- General single-field inflation = (single) scalar-tensor theory
- Horndeski theory: the most general scalar-tensor theory with **2nd-order** field equations

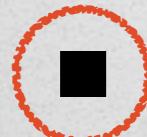
$$\begin{aligned}\mathcal{L} = & G_2(\phi, X) - G_3(\phi, X)\square\phi + G_4(\phi, X)R \\ & + G_{4,X} \left[(\square\phi)^2 - (\nabla_\mu \nabla_\nu \phi)^2 \right] + G_5(\phi, X)(\dots)\end{aligned}$$

4 arbitrary functions

$$X := -\frac{1}{2}g^{\mu\nu}\partial_\mu\phi\partial_\nu\phi$$

Horndeski (1974); Deffayet, et al. (2011);
TK, Yamaguchi, Yokoyama (2011)

— free from the Ostrogradsky instability



Beyond Horndeski: **2nd-order** in time, but **higher** in space,
thus can still avoid the Ostrogradsky instability

Gleyzes, Langlois, Piazza, Vernizzi (2015); Gao (2014)

Scalar-tensor theories beyond Horndeski

- Unitary gauge description of Horndeski theory

$$\mathcal{L} = G_2(\phi, X) + \cdots + G_4(\phi, X)R + G_{4,X} [(\square\phi)^2 - (\nabla_\mu\nabla_\nu\phi)^2] + \cdots$$

$$X = \dot{\phi}^2/2N^2 \quad \downarrow \quad \boxed{\phi = \phi(t)} \quad \text{ADM decomposition}$$

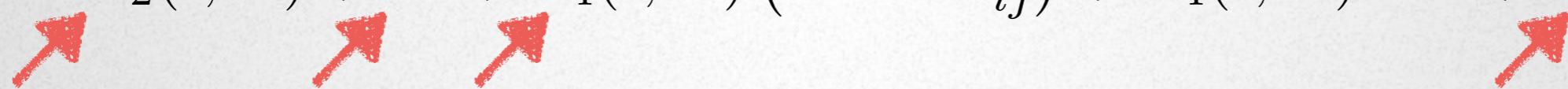
$$\mathcal{L} = A_2(t, N) + \cdots + A_4(t, N) (K^2 - K_{ij}^2) + B_4(t, N)R^{(3)} + \cdots$$

Scalar-tensor theories beyond Horndeski

- Unitary gauge description of Horndeski theory

$$\mathcal{L} = G_2(\phi, X) + \cdots + G_4(\phi, X)R + G_{4,X} [(\square\phi)^2 - (\nabla_\mu\nabla_\nu\phi)^2] + \cdots$$

$$X = \dot{\phi}^2/2N^2 \quad \downarrow \quad \boxed{\phi = \phi(t)} \quad \text{ADM decomposition}$$

$$\mathcal{L} = A_2(t, N) + \cdots + A_4(t, N) (K^2 - K_{ij}^2) + B_4(t, N)R^{(3)} + \cdots$$


Scalar-tensor theories beyond Horndeski

- Unitary gauge description of Horndeski theory

$$\mathcal{L} = G_2(\phi, X) + \cdots + G_4(\phi, X)R + G_{4,X} [(\square\phi)^2 - (\nabla_\mu\nabla_\nu\phi)^2] + \cdots$$

$$X = \dot{\phi}^2/2N^2 \quad \downarrow \quad \boxed{\phi = \phi(t)} \quad \text{ADM decomposition}$$

$$\mathcal{L} = A_2(t, N) + \cdots + A_4(t, N) (K^2 - K_{ij}^2) + B_4(t, N)R^{(3)} + \cdots$$

Scalar-tensor theories beyond Horndeski

- Unitary gauge description of Horndeski theory

$$\mathcal{L} = G_2(\phi, X) + \cdots + G_4(\phi, X)R + G_{4,X} [(\square\phi)^2 - (\nabla_\mu\nabla_\nu\phi)^2] + \cdots$$

$$X = \dot{\phi}^2/2N^2 \quad \downarrow \quad \boxed{\phi = \phi(t)} \quad \text{ADM decomposition}$$

$$\mathcal{L} = A_2(t, N) + \cdots + A_4(t, N) (K^2 - K_{ij}^2) + B_4(t, N)R^{(3)} + \cdots$$

- This leads to spatially covariant theories of gravity

Gleyzes, Langlois, Piazza, Vernizzi (2015); Gao (2014)

$$\begin{aligned} \mathcal{L} = & d_0(t, N) + d_1(t, N)R^{(3)} + d_2(R^{(3)})^2 + \cdots + d_4 a_i a^i + a_0 K + a_1 R^{(3)} K \\ & + a_2 R_{ij}^{(3)} K^{ij} + \cdots + b_1 K^2 + \cdots \end{aligned} \quad a_i := \partial_i \ln N$$

Higher spatial derivatives, more general than Horndeski,
same spirit as EFT of inflation, Horava gravity, and ghost condensate

Scalar-tensor theories beyond Horndeski

- Unitary gauge description of Horndeski theory

$$\mathcal{L} = G_2(\phi, X) + \cdots + G_4(\phi, X)R + G_{4,X} [(\square\phi)^2 - (\nabla_\mu\nabla_\nu\phi)^2] + \cdots$$

$$X = \dot{\phi}^2/2N^2 \quad \downarrow \quad \boxed{\phi = \phi(t)} \quad \text{ADM decomposition}$$

$$\mathcal{L} = A_2(t, N) + \cdots + A_4(t, N) (K^2 - K_{ij}^2) + B_4(t, N)R^{(3)} + \cdots$$

- This leads to spatially covariant theories of gravity

infinitely many arbitrary functions

Gleyzes, Langlois, Piazza, Vernizzi (2015); Gao (2014)

$$\mathcal{L} = d_0(t, N) + d_1(t, N)R^{(3)} + d_2(R^{(3)})^2 + \cdots + d_4a_i a^i + a_0 K + a_1 R^{(3)} K + a_2 R_{ij}^{(3)} K^{ij} + \cdots + b_1 K^2 + \cdots \cdots \cdots + \cdots \cdots \cdots + a_i := \partial_i \ln N$$

Higher spatial derivatives, more general than Horndeski,
same spirit as EFT of inflation, Horava gravity, and ghost condensate

XG3 (eXtended Galileon with 3-space covariance) proposed by Xian Gao

Gao (2014)

- 6 functions in GLPV ... “minimal” extension
- 28 terms out of infinitely many possible combinations in XG3
 - (i) \mathcal{L} contains derivatives of order 2 or less when going back to covariant form.
 $\longrightarrow \cancel{D^2 R^{(3)}, (D_i R_{jk}^{(3)})^2, \dots}$
 - (ii) # of 2nd-order derivative operators does not exceed 3 when going back to covariant form.
 $\longrightarrow \cancel{(K_{ij})^4, (R_{ij}^{(3)})^4, \dots}$
- The Lagrangian:

$$\begin{aligned}\mathcal{L} = & \left[a_0(t, N) + a_1 R^{(3)} + \dots \right] K + \left[a_2 R_{ij}^{(3)} + a_6 R^{(3)} R_{ij}^{(3)} + \dots \right] K^{ij} \\ & + \dots + c_1 K^3 + c_2 K K_{ij} K^{ij} + \dots + d_0 + d_1 R^{(3)} + \dots\end{aligned}$$

Healthy scalar-tensor theories

something more general

XG3

28 functions

GLPV

6 functions

Horndeski 4 functions

$$G_2(\phi, X), G_3(\phi, X), \\ G_4(\phi, X), G_5(\phi, X)$$

GR + k-essence

1 function $P(\phi, X)$

2nd-order in time & space

2nd-order in time, but higher-order in space

Horava

Quadratic Lagrangian in XG3

GLPV, Horndeski ↪ New term in
XG3, Horava

$$\mathcal{L} = \frac{a^3}{8} \left[\mathcal{G}_T \dot{h}_{ij}^2 - \frac{\mathcal{F}_T}{a^2} (\partial_k h_{ij})^2 + 2 \frac{d_3}{a^4} (\partial^2 h_{ij})^2 \right]$$

$$\mathcal{G}_T := 2b_2$$

$$\mathcal{F}_T := 2d_1 + \dot{a}_2 + Ha_2$$

Modified dispersion relation

$$\omega^2 = c_h^2 k^2 + \epsilon^2 k^4 \eta^2, \quad c_h^2 := \mathcal{F}_T / \mathcal{G}_T, \quad \epsilon^2 := -2H^2 d_3 / \mathcal{G}_T$$

Analytic solution for *de Sitter, constant coefficients*

Ashoorioon *et al.* (2011)

$$h_{\mathbf{k}} = \frac{\sqrt{2}}{a} \frac{\exp(-\pi c_h^2 / 8\epsilon)}{\sqrt{-\mathcal{G}_T \epsilon k^2 \eta}} W \left(\frac{ic_h^2}{4\epsilon}, \frac{3}{4}, -i\epsilon k^2 \eta^2 \right) \quad W: \text{Whittaker fn.}$$

Cubic Lagrangian in XG3

→ GLPV, Horndeski

$$\frac{\mathcal{L}}{a^3} = \boxed{\frac{c_3}{8} \dot{h}_{ij}^3 + \frac{\mathcal{F}_T}{4a^2} \left(h_{ik}h_{jl} - \frac{1}{2}h_{ij}h_{kl} \right) h_{ij,kl}}$$

$$+ \frac{a_7}{8a^4} \dot{h}_{ik} \partial^2 h_{jk} \partial^2 h_{ij} - \frac{b_6}{8a^2} \dot{h}_{ik} \dot{h}_{kj} \partial^2 h_{ij}$$

New terms
in **XG3**

$$- \frac{d_7}{8a^6} (\partial^2 h_{ij})^3 + \frac{d_3}{a^4} \partial^2 h_{ij} \left[\frac{1}{2} h_{ik,l} h_{jl,k} + h_{ij} \times \text{“}\partial\partial h\text{”} \right]$$

→ Horava

Non-Gaussian amplitude

- Decompose h_{ij} in a helicity basis:

$$h_{ij}(\mathbf{k}) = \sum_{s=\pm} \xi^s(\mathbf{k}) e_{ij}^{(s)}(\mathbf{k}) \quad \xi^\pm = h^+ \pm i h^\times$$

- In-in formalism Maldacena (2003)

$$\langle \xi^{s_1}(\mathbf{k}_1) \xi^{s_2}(\mathbf{k}_2) \xi^{s_3}(\mathbf{k}_3) \rangle = -i \int^t dt' \langle [\xi^{s_1}(\mathbf{k}_1) \xi^{s_2}(\mathbf{k}_2) \xi^{s_3}(\mathbf{k}_3), H_{\text{int}}(t')] \rangle$$

$$\langle \xi^{s_1}(\mathbf{k}_1) \xi^{s_2}(\mathbf{k}_2) \xi^{s_3}(\mathbf{k}_3) \rangle = (2\pi)^7 \delta(\mathbf{k}_1 + \mathbf{k}_2 + \mathbf{k}_3) \frac{\mathcal{P}_h^2}{k_1^3 k_2^3 k_3^3} \mathcal{A}^{s_1 s_2 s_3}$$

Tensor non-Gaussianity in GR

$$\mathcal{L} = \frac{R}{2} + P(\phi, X) \quad \rightarrow$$

$$\begin{aligned} \frac{\mathcal{L}}{a^3} = & \frac{c_3}{8} \dot{h}_{ij}^3 + \boxed{\frac{\mathcal{F}_T}{4a^2} \left(h_{ik}h_{jl} - \frac{1}{2}h_{ij}h_{kl} \right) h_{ij,kl}} \\ & + \frac{a_7}{8a^4} \dot{h}_{ik} \partial^2 h_{jk} \partial^2 h_{ij} - \frac{b_6}{8a^2} \dot{h}_{ik} \dot{h}_{kj} \partial^2 h_{ij} \\ & - \frac{d_7}{8a^6} (\partial^2 h_{ij})^3 + \frac{d_3}{a^4} \partial^2 h_{ij} \left[\frac{1}{2} h_{ik,l} h_{jl,k} + h_{ij} \times \text{"}\partial\partial h\text{"} \right] \end{aligned}$$



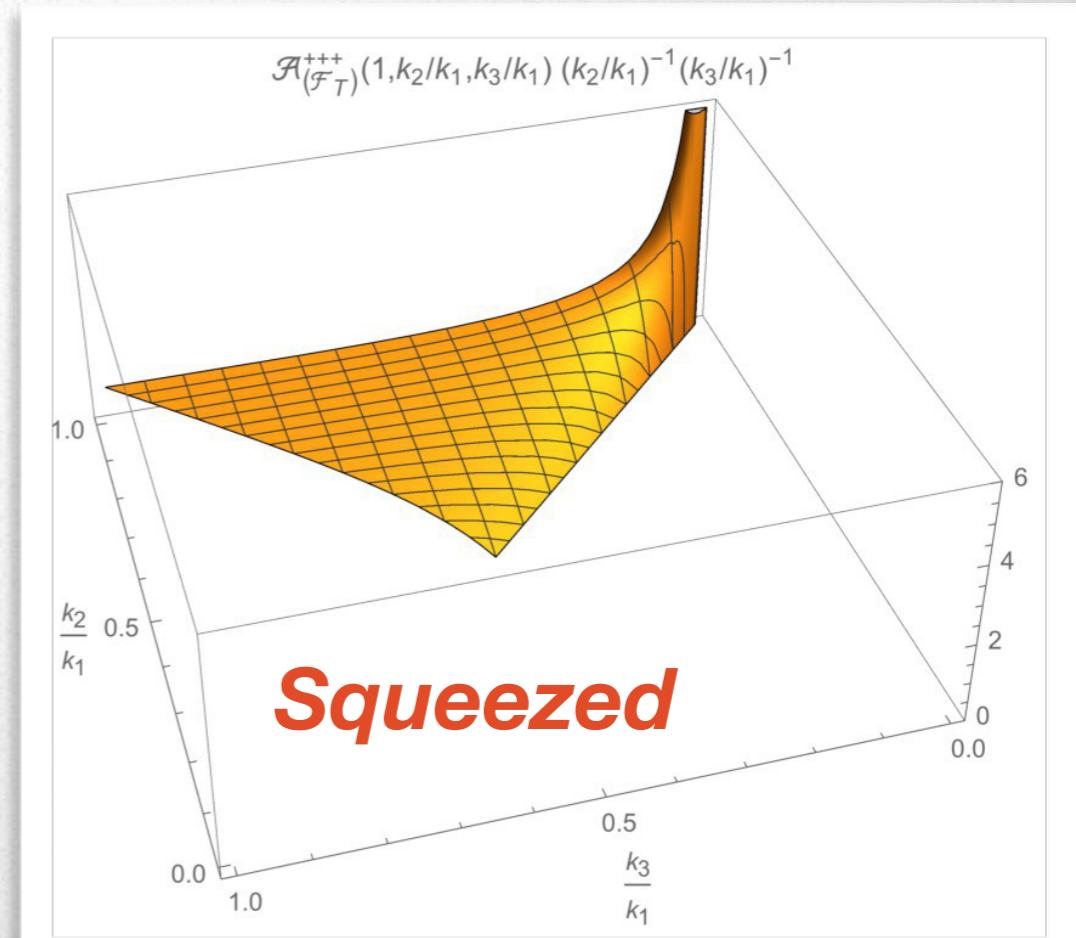
$$\mathcal{A}^{+++} = \mathcal{A}_{(\text{GR})}^{+++}$$

$$\begin{aligned} &:= \frac{K_1}{16} \left(-1 + \frac{K_2}{K_1^2} + \frac{K_3}{K_1^3} \right) \\ &\times \frac{K_1^8}{128K_3^2} \left(1 - 4\frac{K_2}{K_1^2} + 8\frac{K_3}{K_1^3} \right) \end{aligned}$$

$$K_1 := k_1 + k_2 + k_3$$

$$K_2 := k_1 k_2 + k_2 k_3 + k_3 k_1$$

$$K_3 := k_1 k_2 k_3$$



Tensor non-Gaussianity in Horndeski and GLPV

$$\frac{\mathcal{L}}{a^3} = \boxed{\frac{c_3}{8} \dot{h}_{ij}^3} + \frac{\mathcal{F}_T}{4a^2} \left(h_{ik}h_{jl} - \frac{1}{2}h_{ij}h_{kl} \right) h_{ij,kl}$$

$$+ \frac{a_7}{8a^4} \dot{h}_{ik} \partial^2 h_{jk} \partial^2 h_{ij} - \frac{b_6}{8a^2} \dot{h}_{ik} \dot{h}_{kj} \partial^2 h_{ij}$$

$$- \frac{d_7}{8a^6} (\partial^2 h_{ij})^3 + \frac{d_3}{a^4} \partial^2 h_{ij} \left[\frac{1}{2} h_{ik,l} h_{jl,k} + h_{ij} \times \text{"}\partial\partial h\text{"} \right]$$

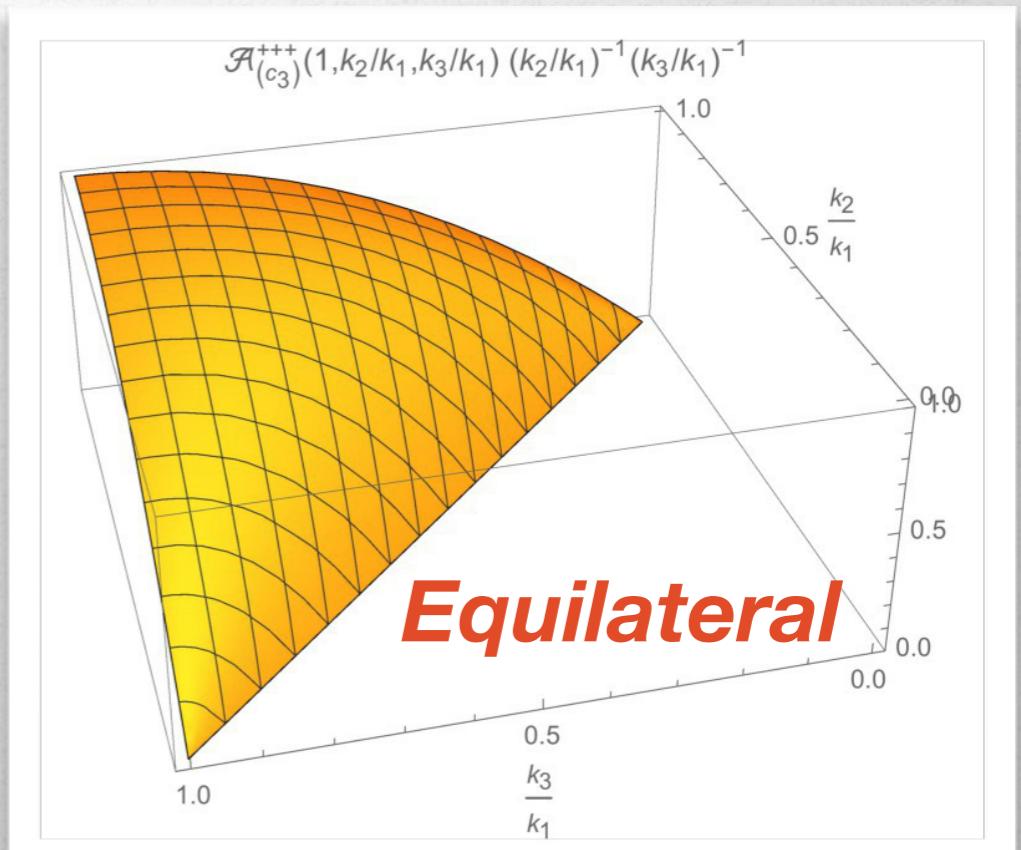
$K_{ij}^3 \sim (\nabla_\mu \nabla_\nu \phi)^3 \subset \mathcal{L}_5$

Only **2** independent terms

$$\mathcal{A}^{s_1 s_2 s_3} = \boxed{\mathcal{A}_{c_3}^{s_1 s_2 s_3}} + \mathcal{A}_{(\text{GR})}^{s_1 s_2 s_3}$$

Equilateral
Squeezed

Independent of functions,
same amplitude in all theories



Tensor non-Gaussianity in XG3

- Technical issue: mode function, not Hankel but Whittaker...
 - Integration of $(Whittaker)^3$ cannot be performed analytically...
- Assume modification to the dispersion relation is small

$$\mathcal{A}^{s_1 s_2 s_3} = \mathcal{A}_{(0)}^{s_1 s_2 s_3} + \frac{\epsilon^2}{c_h^4} \mathcal{C}^{s_1 s_2 s_3}$$

d_3 terms are assumed to be small

Ashoorioon *et al.* (2011)
for similar calculations of $\langle \zeta \zeta \zeta \rangle$

$$\mathcal{L} = \frac{a^3}{8} \left[\mathcal{G}_T \dot{h}_{ij}^2 - \frac{\mathcal{F}_T}{a^2} (\partial_k h_{ij})^2 + 2 \frac{d_3}{a^4} (\partial^2 h_{ij})^2 \right]$$

$$\frac{\mathcal{L}}{a^3} = \frac{c_3}{8} \dot{h}_{ij}^3 + \frac{\mathcal{F}_T}{4a^2} \left(h_{ik} h_{jl} - \frac{1}{2} h_{ij} h_{kl} \right) h_{ij,kl}$$

$$+ \frac{a_7}{8a^4} \dot{h}_{ik} \partial^2 h_{jk} \partial^2 h_{ij} - \frac{b_6}{8a^2} \dot{h}_{ik} \dot{h}_{kj} \partial^2 h_{ij}$$

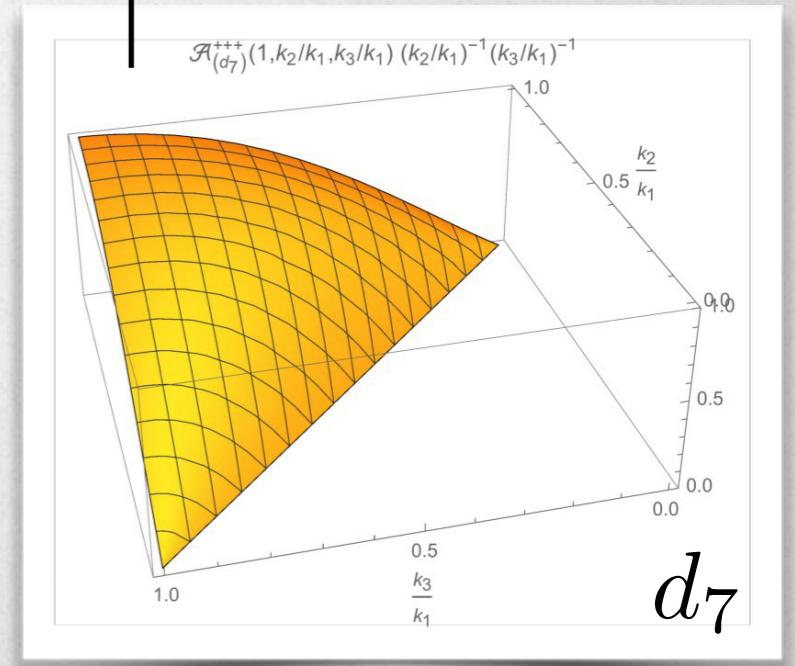
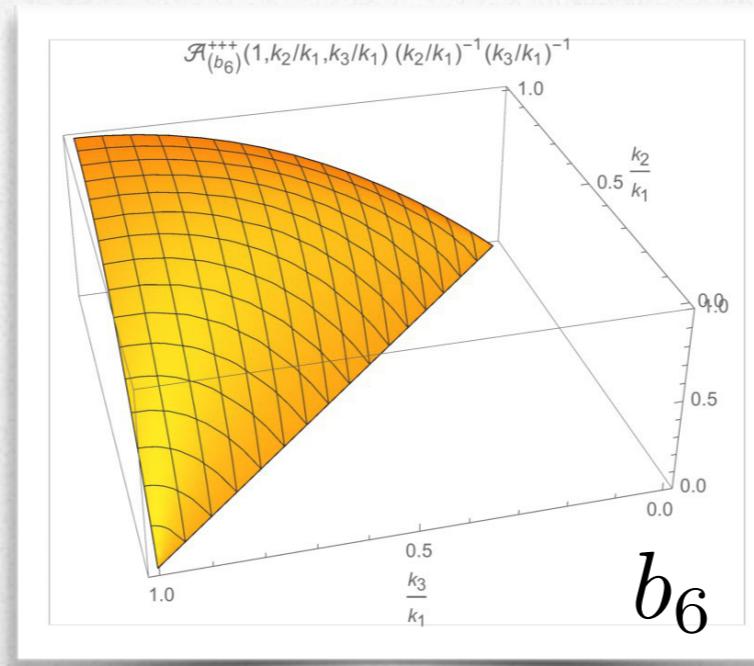
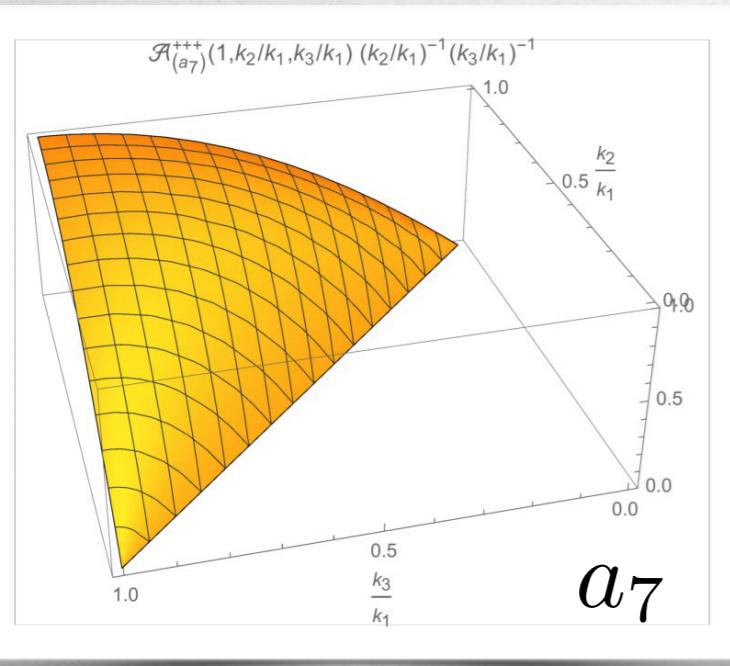
$$- \frac{d_7}{8a^6} (\partial^2 h_{ij})^3 + \frac{d_3}{a^4} \partial^2 h_{ij} \left[\frac{1}{2} h_{ik,l} h_{jl,k} + h_{ij} \times \text{"}\partial\partial h\text{"} \right]$$

Tensor non-Gaussianity in XG3

$$\begin{aligned} \frac{\mathcal{L}}{a^3} = & \frac{c_3}{8} \dot{h}_{ij}^3 + \frac{\mathcal{F}_T}{4a^2} \left(h_{ik} h_{jl} - \frac{1}{2} h_{ij} h_{kl} \right) h_{ij,kl} \\ & + \frac{a_7}{8a^4} \dot{h}_{ik} \partial^2 h_{jk} \partial^2 h_{ij} - \frac{b_6}{8a^2} \dot{h}_{ik} \dot{h}_{kj} \partial^2 h_{ij} \\ & - \frac{d_7}{8a^6} (\partial^2 h_{ij})^3 + \frac{d_3}{a^4} \partial^2 h_{ij} \left[\frac{1}{2} h_{ik,l} h_{jl,k} + h_{ij} \times \text{"}\partial\partial h\text{"} \right] \end{aligned}$$

Equilateral

→ Horava gravity
Huang, et al. (2013)



Squeezed NG in XG3

- Only 2 terms generate squeezed non-Gaussianity

$$\frac{\mathcal{L}}{a^3} = \frac{c_3}{8} \dot{h}_{ij}^3 + \boxed{\frac{\mathcal{F}_T}{4a^2} \left(h_{ik}h_{jl} - \frac{1}{2}h_{ij}h_{kl} \right) h_{ij,kl}}$$

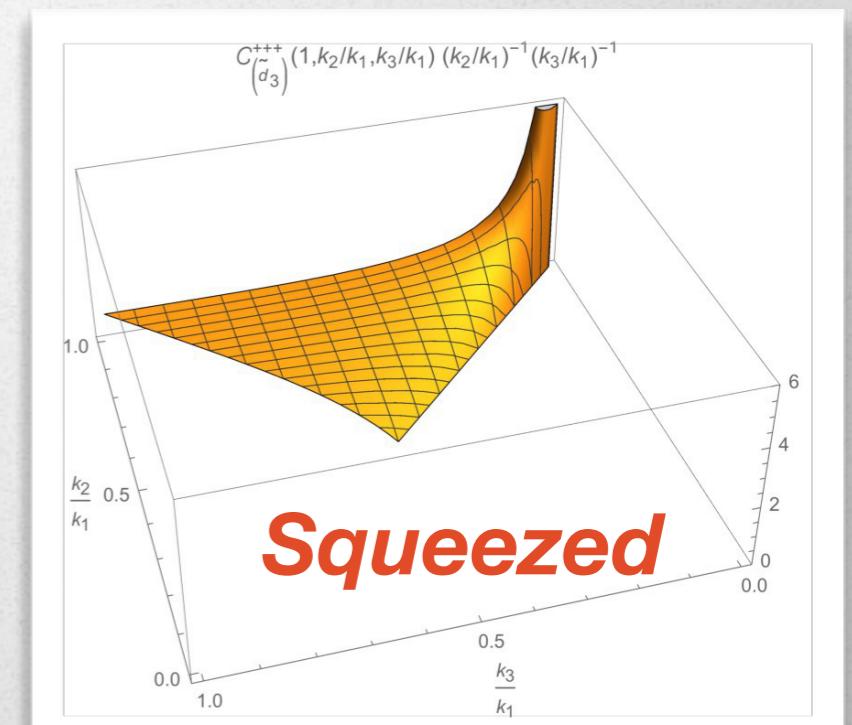
$$+ \frac{a_7}{8a^4} \dot{h}_{ik} \partial^2 h_{jk} \partial^2 h_{ij} - \frac{b_6}{8a^2} \dot{h}_{ik} \dot{h}_{kj} \partial^2 h_{ij}$$

$$- \frac{d_7}{8a^6} (\partial^2 h_{ij})^3 + \frac{d_3}{a^4} \partial^2 h_{ij} \left[\frac{1}{2} h_{ik,l} h_{jl,k} + h_{ij} \times \text{"}\partial\partial h\text{"} \right]$$

Small correction
(under our assumption)

Independent of functions,
same amplitude in all theories,

$\mathcal{A}_{(\text{GR})}^{s_1 s_2 s_3}$



Summary

- Only 2 independent cubic terms in Horndeski *and GLPV*, one peaks at squeezed shape (=GR) and the other at equilateral shape
- 4 new terms in XG3
 - One is related to modified dispersion relation; this is assumed to be small in this work (due to technical reason)
 - The other 3 give equilateral non-Gaussianity
 - Squeezed non-Gaussianity $\simeq \mathcal{A}_{(\text{GR})}^{s_1 s_2 s_3}$; independent of functions in the Lagrangian, same amplitude for all theories